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Unit 4: Trees

4.1: Tree Concepts

4.2: Java Interfaces & Implementation for Trees

4.3: Binary Search Trees

4.4: Heap Trees

4.5: AVL Trees

4.6: 2-3 & 2-4 Trees

4.7: Red-Black Trees





4.1: Tree Concepts



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What is a Tree

- A list, stack, or queue is a linear structure that consists of a sequence of elements.
- In Computer Science, a tree is an abstract model of a hierarchical structure
- A tree consists of nodes with a parent-child relation
- Applications:
 - > Organization charts
 - File systems
 - Programming environments



Hierarchical Organization

• Example: A university's organization





Thursday, March 24, 2022

Hierarchical File Systems

• Example: File Directories





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Tree Terminology

- A tree is a set of **nodes**, connected by **edges** that indicate relationships among nodes
- Nodes arranged in hierarchy levels
 - > Top level is a single node called the **root**, node with no parent
 - > The **height h** of a nonempty tree is the length of the path from the root node to its furthest leaf
 - The height of a tree that contains a single node is 0
 - > A node is reached from the root by a **path**, **Path-Length** is the number of edges that compose it





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Binary Trees - Each node has at most two children

Perfect B-Tree

A Binary Tree in which all internal nodes have 2children and all the leaf nodes are at the same depth or same level

A Binary Tree in

A Binary Tree in which every node has 0 or 2 children

Complete B-Tree

All levels completely filled with nodes except the last level and in the last level, all the nodes are as left side as possible

Balanced B-Tree

A Binary Tree in which height of the left and the right sub-trees of every node may differ by at most 1

Degenerate(or Pathological) B-Tree

A Binary Tree where every parent node has only one child node.





Binary Trees

The number of nodes in a prefect binary tree as a function of the tree's height.



• The height of a binary tree with **n** nodes that is either complete or full is $log_2(n + 1)$



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Visiting a node

- Processing the data within a node
- > This is the action performed on each node during traversal of a tree
- A traversal can pass through a node without visiting it at that moment
 - > inorder, preorder, postorder, depth-first, and breadth-first traversals.



 Preorder traversal: the current node is visited first, then recursively the left subtree of the current node, and finally the right subtree of the current node recursively





 Inorder traversal: visit the left subtree of the current node first recursively, then the current node itself, and finally the right subtree of the current node recursively





Output of the subtree of the current node first, then the right subtree of the current node, and finally the current node itself





• Level-order traversal: begin at the root, visit nodes one level at a time



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- A complete binary tree is defined inductively as follows.
 - A complete binary tree of height 0 consists of 1 node which is the root.
 - A complete binary tree of height h+1 consists of two complete binary trees of height h whose roots are connected to a new root.
- Let T be a complete binary tree of height h. Prove that the size of the tree (number of nodes in T) is 2^{h+1} 1.





- **Proof by Induction**: let **T(h)** be the number of nodes of **T** at height **h**.
- Base case: h=0, by definition we have only one node which is the root.

T(0) = 1 and $2^{0+1} - 1 = 2 - 1 = 1$

So, $T(h) = 2^{h+1} - 1$ holds for h=0

- Induction hypothesis: Assume for any complete binary tree of height h the size of this tree is T(h) = 2^{h+1} 1, h>0
- Induction step: We know that a complete binary tree of height h+1 consists of two complete binary tree each of height h whose root are connected to a new root. i.e.,

T(h+1) = 1 + 2 T(h)= 1 + 2(2^{h+1} - 1) by induction hypothesis = 1 + 2^{h+2} - 2 = 2^{h+2} - 1 = 2^{(h+1)+1} - 1 So, T(h) = 2^{h+1} - 1 holds for h+1





Let T be a complete binary tree of height h. The height of a node in T is the node's distance to a leaf (e.g., the root has height h, whereas a leaf has height 0).

Prove that the sum of the heights of all the nodes in T is
 2^{h+1} - h - 2.



- Proof by Induction: let S(h) be the sum of the height of all nodes in T
- Base case: h=0, by definition we have only one node which is the root.

S(0) = 0 and $2^{0+1} - 0 - 2 = 0$ So, $S(h) = 2^{h+1} - h - 2$ holds for h=0

- Induction hypothesis: Assume for any complete binary tree T of height h,
 S(h) = 2^{h+1} h 2, h>0
- Induction step: a complete binary tree of height h+1 consists of two complete binary tree each of height h whose root are connected to a new root. i.e.,

$$\begin{split} S(h+1) &= the height of the root + 2 S(h) \\ &= (h+1) + 2(2^{h+1} - h - 2) \quad by induction hypothesis \\ &= 2^{h+2} - h - 3 = 2^{(h+1)+1} - (h+1) - 2 \\ Then, S(h) &= 2^{h+1} - h - 2 \text{ holds for } h+1 \end{split}$$







4.2: Java Interfaces & Implementation for Trees



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Java Interfaces for Trees



The Tree interface defines common operations for trees.

Returns true if the specified element is in the tree. Returns true if the element is added successfully. Returns true if the element is removed from the tree successfully. Prints the nodes in inorder traversal. Prints the nodes in preorder traversal. Prints the nodes in postorder traversal. Returns the number of elements in the tree. Returns true if the tree is empty. Removes all elements from the tree.

Tree



Nodes in a Binary Tree



- Let us examine the **BinaryNodeInterface**
- And then the class **BinaryNode** that implement it



```
package TreePackage;
interface BinaryNodeInterface < T >
```

```
/** Task: Retrieves the data portion of the node.
* @return the object in the data portion of the node */
public T getData ();
```

/** Task: Sets the data portion of the node.
* @param newData the data object */
public void setData (T newData);

```
/** Task: Retrieves the left child of the node.
* @return the node that is this nodes left child */
public BinaryNodeInterface < T > getLeftChild ();
```

/** Task: Retrieves the right child of the node.
* @return the node that is this nodes right child */
public BinaryNodeInterface < T > getRightChild ();

/** Task: Sets the nodes left child to a given node.
* @param leftChild a node that will be the left child */
public void setLeftChild (BinaryNodeInterface < T > leftChild);

/** Task: Sets the nodes right child to a given node.
* @param rightChild a node that will be the right child */
public void setRightChild (BinaryNodeInterface < T > rightChild);

An interface for the nodes in a binary tree





```
/** Task: Detects whether the node has a left child.
* @return true if the node has a left child */
public boolean hasLeftChild ();
```

/** Task: Detects whether the node has a right child.
* @return true if the node has a right child */
public boolean hasRightChild ();

```
/** Task: Detects whether the node is a leaf.
* @return true if the node is a leaf */
public boolean isLeaf ();
```

/** Task: Counts the nodes in the subtree rooted at this node. *@returnthenumberof nodes in the subtree rooted at this node */ public int getNumberOfNodes ();

/** Task: Computes the height of the subtree rooted at this node.
* @return the height of the subtree rooted at this node */
public int getHeight ();

```
/** Task: Copies the subtree rooted at this node.
* @return the root of a copy of the subtree rooted at this node */
public BinaryNodeInterface < T > copy ();
```

} // end BinaryNodeInterface

An interface for the nodes in a binary tree





```
package TreePackage;
class BinaryNode < T > implements BinaryNodeInterface < T > {
    private T data;
    private BinaryNode < T > left;
    private BinaryNode < T > right;
    public BinaryNode () {
        this (null); // call next constructor
    } // end default constructor
                                                                                           Data object
    public BinaryNode (T dataPortion) {
        this (dataPortion, null, null); // call next constructor
    } // end constructor
    public BinaryNode (T dataPortion, BinaryNode < T > leftChild, BinaryNode < T > rightChild) {
        data = dataPortion;
        left = leftChild;
        right = rightChild;
    } // end constructor
  public T getData () {
        return data;
    } // end getData
    public void setData (T newData) {
        data = newData;
    } // end setData
    public BinaryNodeInterface < T > getLeftChild () {
        return left;
    } // end getLeftChild
    public void setLeftChild (BinaryNodeInterface < T > leftChild) {
        left = (BinaryNode < T > ) leftChild;
    } // end setLeftChild
    public boolean hasLeftChild () {
        return left != null;
    } // end hasLeftChild
    public boolean isLeaf () {
        return (left == null) && (right == null);
    } // end isLeaf
```

```
// Implementations of getRightChild, setRightChild, and hasRightChild are analogous to
// their left-child counterparts.
public BinaryNodeInterface < T > copy () {
    BinaryNode < T > newRoot = new BinaryNode < T > (data);
    if (left != null)
        newRoot.left = (BinaryNode < T > ) left.copy ();
    if (right != null)
        newRoot.right = (BinaryNode < T > ) right.copy ();
    return newRoot;
} // end copy
                                                                                    Data object
public int getHeight () {
    return getHeight (this); // call private getHeight
} // end getHeight
private int getHeight (BinaryNode < T > node) {
    int height = 0;
    if (node != null)
        height = 1 + Math.max (getHeight (node.left), getHeight (node.right));
    return height;
} // end getHeight
public int getNumberOfNodes () {
    int leftNumber = 0;
    int rightNumber = 0;
    if (left != null)
        leftNumber = left.getNumberOfNodes ();
    if (right != null)
        rightNumber = right.getNumberOfNodes ();
    return 1 + leftNumber + rightNumber;
} // end getNumberOfNodes
```

```
} // end BinaryNode
```

General Trees



A node for a general tree.







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- A search tree organizes its data so that a search is more efficient
- Binary search tree
 - Nodes contain Comparable objects
 - A node's data is greater than the data in the node's left subtree
 - A node's data is less than the data in the node's right subtree



Every node in a binary search tree is the root of a binary search tree















An Interface for the Binary Search Tree

- Includes common operations of a tree
- Also includes basic database operations
 - > Search
 - > Retrieve
 - > Add
 - > Remove

> Traverse



An Implementation for the Binary Search Tree







Recursively Binary Search Algorithm

Algorithm bstSearch(binarySearchTree, desiredObject) // Searches a binary search tree for a given object. // Returns true if the object is found.

- if (binarySearchTree is empty)
 return false
- else if (desiredObject == object in the root of binarySearchTree)
 return true
- else if (desiredObject < object in the root of binarySearchTree)
 return bstSearch(left subtree of binarySearchTree, desiredObject)
 else</pre>

return bstSearch(right subtree of binarySearchTree, desiredObject)



```
public class BST<E extends Comparable<E>> implements Tree<E> {
  protected TreeNode<E> root;
  protected int size = 0
  public BST() { // Create an empty binary tree
  /** Create a binary tree from an array of objects */
  public BST(E[] objects) {
    for (int i = 0; i < objects.length; i++)</pre>
      add(objects[i]);
  @Override /** Returns true if the element is in the tree */
  public boolean search(E e) {
    TreeNode<E> current = root; // Start from the root
    while (current != null) {
      if (e.compareTo(current.element) < 0) {</pre>
        current = current.left;
      else if (e.compareTo(current.element) > 0) {
        current = current.right;
      else // element matches current.element
        return true; // Element is found
    return false:
```

BST Implementation

Recursively BS Implementation

Adding an Entry




```
@Override /** Insert element e into the binary tree
 * Return true if the element is inserted successfully */
public boolean insert(E e) {
  if (root == null)
     root = createNewNode(e); // Create a new root
 else {
    // Locate the parent node
    TreeNode<E> parent = null;
    TreeNode<E> current = root;
    while (current != null)
      if (e.compareTo(current.element) < 0) {</pre>
        parent = current;
        current = current.left;
      else if (e.compareTo(current.element) > 0) {
        parent = current;
        current = current.right;
      else
        return false; // Duplicate node not inserted
    // Create the new node and attach it to the parent node
    if (e.compareTo(parent.element) < 0)</pre>
      parent.left = createNewNode(e);
    else
      parent.right = createNewNode(e);
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size++;
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    TreeNode<E> parent = null;
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    while (current != null)
      if (e.compareTo(current.element) < 0) {</pre>
                                                 101 < 60?
        parent = current;
        current = current.left;
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Insert 101 into the following tree.



size++;

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Adding an Entry



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                                               101 < 107 true
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    // Locate the parent node
    TreeNode<E> parent = null;
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    while (current != null)
      if (e.compareTo(current.element) < 0) { 101 < 107 true
        parent = current;
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    // Create the new node and attach it to the parent node
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size++;
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Insert 101 into the following tree.



size++:

Removing an Entry

• The **remove** method must receive an entry to be matched in the tree

- > If found, it is removed
- Otherwise the method returns null
- Three cases
 - Case0: The node has no children, it is a leaf (simplest case)
 - Case1: The node has one child
 - Case2: The node has two children



Case0: Removing an Entry, Node a Leaf



(a) Two possible configurations of leaf node *N*;

(b) the resulting two possible configurations after removing node *N*.



Case0: Removing an Entry, Node a Leaf





Case1: Removing an Entry, Node Has One Child



(a) Two possible configurations of leaf node *N*;

(b) the resulting two possible configurations after removing node *N*.



Case1: Removing an Entry, Node Has One Child







Two possible configurations of node N that has two children.





Node N and its subtrees; (a) entry a is immediately before e, b is immediately after e; (b) after deleting the node that contained a and replacing e with a.





The largest entry *a* in node *N*'s left subtree occurs in the subtree's rightmost node *R*.







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(a) A binary search tree; (b) after removing Chad;





(c) after removing Sean; (d) after removing Kathy.







Removing an Entry in the Root



(a) Two possible configurations of a root that has one child;(b) after removing the root.


Efficiency of Operations

- It is obvious that the complexity for the inorder, preorder, and postorder is O(n), since each node is traversed only once.
- Operations add, remove, getEntry require a search that begins at the root
- Maximum number of comparisons is directly proportional to the height, h of the tree
- These operations are O(h)
- Thus we desire the shortest binary search tree we can create from the data





Efficiency of Operations

- Because the shape of a BST is determined by the order that data is inserted, we run the risk of trees that are essentially lists
- So, the worst case for a single BST operation can be O(n), and for m operations can be O(m*n)
- On average, the height of the tree is O(logn). So, the average time for search, insertion, deletion in a BST is O(logn).
- In balanced BST single operation can be done in O(log n), and for m operations, O(m log n)





Importance of Balance

Completely balanced

- > Subtrees of each node have exactly same height
- Height balanced
 - > Subtrees of each node in the tree differ in height by no more than 1
- Completely balanced or height balanced trees are balanced

https://liveexample.pearsoncmg.com/dsanimation/BSTeBook.html



Importance of Balance



Assignment

- Given a Binary Tree, write Java functions to check whether the given Binary Tree is:
 - a) a perfect tree
 - b) a full tree
 - c) a complete tree
 - d) a balanced tree
 - e) a degenerate tree





Questions?





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